SHASUB

PROTOTYPE: Passphrase Secured SHAsum SUBset

Author: Horvath, Attila

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The topic of this paper is a mechanism for <u>embedding</u> a functional equivalent SHASUM value in target file(s), termed SHASUB. This mechanism renders the contents of target file(s) to be reliably verifiable – comparable to current typical usage of SHASUM. SHASUB is as an alternate methodology of validating source files' contents.

NB: SHASUB, as described herein, is an embedded 'in-band' mechanism as opposed to SHASUM's out-ofband mechanism. As such the applicability of this prototype is limited to ASCII (textual) files whose content may be altered without affecting the significance and relevance of its payload content.

1 Introduction

The SHASUM mechanism is a common and ubiquitous methodology by which to reliably validate and confirm the 'finger prints' of the data contents of any file^{1,2} – meaning the SHASUM of a file's contents can be used to determine whether the contents of a file has changed, or not³, since the SHASUM was originally calculated.

SHASUB, as it relates to ASCII data files, addresses following drawbacks with the ubiquitous SHASUM usage for a subset of data types:

- 1. version control
- 2. discrete 'file pairing'
- 3. perpetual/recursive paradox. SHASUB addresses both of these issues.

1.1 Version Control

By way of example, Subversion [SVN] offers a feature termed 'keyword(s) substitution' – particularly useful in configuration management environments, typically software development, whereby the tool's feature permits versioning information to be automatically imprinted inside select files in user selected locations within respective files. This 'keyword(s) substitution' feature takes effect automatically when files enabled with this property are committed into the versioning repository/database.

As useful as SVN's 'keyword(s) substitution' feature is, due to SHASUM's inherent behavior it follows that the SHASUM value of a file cannot be calculated before files are committed into the versioning repository because the files are modified during the commit procedure rendering a pre-calculated SHASUM value moot. Therefore the SHASUM value of a committed file is required to be generated post-commit which means the SHASUM of a file inherently cannot be committed with the file – the SHASUM is required to be committed subsequently at a version greater/beyond that of the file itself.

SHASUB addresses this dilemma permitting the functional equivalent of SHASUM to be generated precommit.

1.2 Discrete 'File-Pairing'

When used as a coupled 'file pair' information construct, a file's contents and the corresponding calculated SHASUM must be kept as a 'file pair' – i.e. two discrete files (see section 1.4)⁴. If the 'file pair' is conveyed to a remote destination and the SHASUM is lost inadvertently, there may not be a reliable way of reconstituting the file's original SHASUM because the contents of the file may have changed subsequent to the loss of the SHASUM.

1.3 The Paradox

A practical way around the discrete 'file pair' mechanism is to merge the information by embedding the SHASUM of a file's contents within the file itself⁵ alongside a file's contents without affecting the

¹ To include files with binary content.

² <u>https://security.googleblog.com/2017/02/announcing-first-sha1-collision.html</u>

³ In the event that SHASUM fails validation, it neither provides a mechanism with which to determine what has changed; nor does it provide a mechanism to revert to a SHASUM validated state.

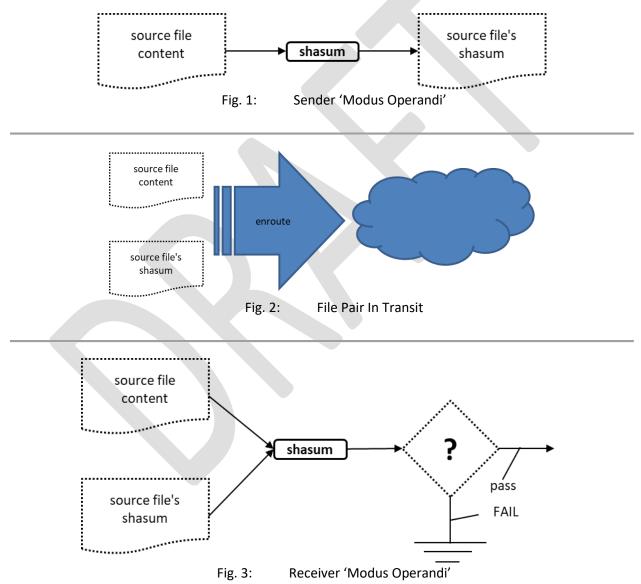
⁴ It is recognized that the 'file pair' may be packaged in archive file format.

⁵ This instantiation of SHASUB works only for textual ASCII files and not for binary files.

significance of files' payload contents. Embedding a typical SHASUM, however, presents a perpetual/recursive paradox owing to the fact that once a file's SHASUM, when calculated in the usual manner across files' entire contents, is embedded within the file, it explicitly changes the file's content rendering the embedded SHASUM moot as it no longer can be used to validate the file's original payload contents because it includes itself.

1.4 Modus Operandi

Typical Sender/Receiver 'modus operandi' is for a sender to convey the validity of files' contents to a receiver as a 'file pair' comprised of the original file itself as well as its corresponding SHASUM value. The sender conveys this file pair to a receiver to validate on receipt to ensure the contents of the source file has not been compromised either inadvertently |OR| intentionally:



It is intuitively obvious from the Sender/Receiver 'modus operandi' depicted above, if a file encounters MIM [man in the middle] attack or a receiver corrupts or loses the SHASUM and/or corrupts a

corresponding file's payload data, only the sender at the originating end can reliably re-instantiate the file-pair.

2 SHASUB

The term "SHASUB" means the "<u>SHA</u>sum of a data <u>SUB</u>set". Its usage is both a placeholder as well as a mechanism⁶ for eliminating the 'file pair' and 'paradox' issues discussed above.

2.1 SHASUB Mechanism

2.1.1 SHASUB Placeholder

The term "SHASUB" when used as a placeholder is an unspecified arbitrary location in a file's content that identifies the location where a calculated 'partial SHASUM' value is manually stored so as not to corrupt the file's payload information⁷ as illustrated below:

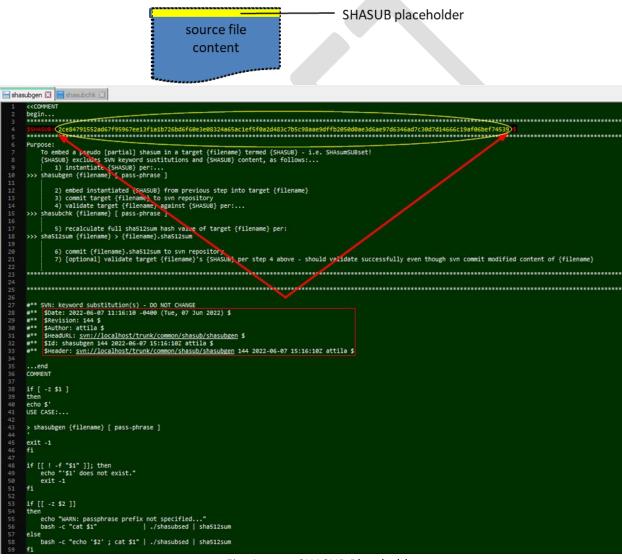


Fig. 4: SHASUB Placeholder

⁶ Incorporates accompanying generation/validation tools.

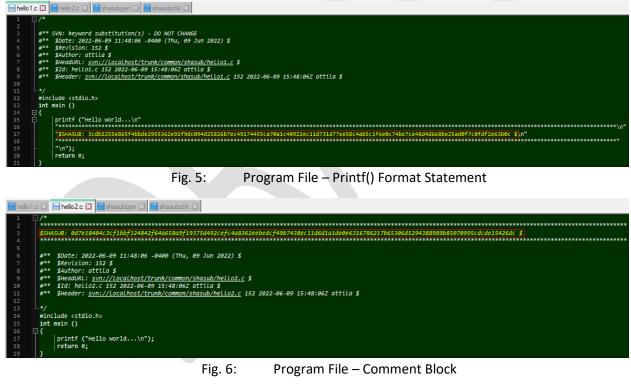
⁷ Normally located within in a comment field, print statement, initialization field of a string variable, etc.

As indicated in **RED** above, the SHASUB value itself, indicated in **YELLOW** above, is delimited by the string **SHASUB**, at the beginning and the string **SHASUB**, at the end where the symbol **'** is used to represent a blank/space character⁸. The delimiter enclosed SHASUB value itself is a 128 character SHASUM hexadecimal numerical value instantiated by the 'shasubgen' utility – see section 2.1.2 below 'SHASUB Instantiation/Generation'.

The SHASUB placeholder may appear anywhere in an ASCII file as long as [1]it is appropriately delimited and [2]it does not adversely alter the file's payload content. When encountered however, the content contained within delimiters is excluded from the SHASUB calculations – this is true for both generation and validation operations.

NB: SHASUB similarly addresses issues with Subversion keyword substitution feature per lines #28 thru #33 in Fig. 4: above. Files enabled for Subversion's keyword substitution feature require their SHASUM to be calculated after commits, not before – see 2.2.1 below for full discussion and 2.3 below for related recommendation.





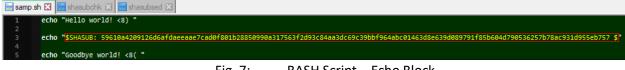
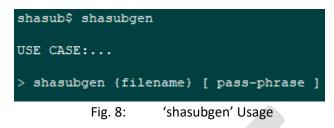


Fig. 7: BASH Script – Echo Block

⁸ This presupposes that the SHASUB value's delimiters are unique character strings not otherwise found in files' payload contents.

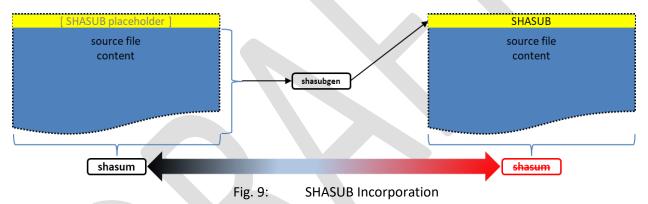
2.1.2 SHASUB Instantiation/Generation

The instantiation of a file content's SHASUB entails the usage of the 'shasubgen' utility:



Initial incorporation of SHASUB is a three step process. Subsequently however, only steps 2 and 3 below are required where an old/obsolete SHASUB value is replaced with a new/current SHASUB value:

- 1. Establishing the SHASUB placeholder
- 2. Instantiation of SHASUB value via 'shasubgen' utility
- 3. Embedding (incorporating) the SHASUB value in the SHASUB placeholder⁹



NOTE: The SHASUM value 'shasum' of a file's whole content calculated prior to embedding a SHASUB value will <u>not</u> match the SHASUM value 'shasum' of the same file's whole content after the embedding procedure as depicted in Fig. 9: above.

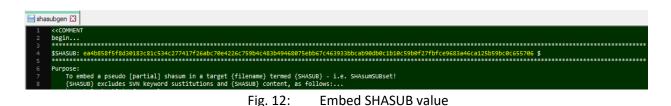
Case in point, embedding 'shasubgen' utility's SHASUB value into the utility is as follows – see Fig. 10: through Fig. 12: below:

	< <comment begin</comment 	
3 4 5 6 7 8	<pre>\$\$HASUB: ????????????????????????????????????</pre>	
	Fig. 10:	Establishing SHASUB placeholder

ea4b858f5f8d30183c81c534c277417f26abc70e4226c759b4c483b49468075ebb67c463933bbcab90db0c1b10c59b0f27fbfce9683a46ca125b59bc0c65570

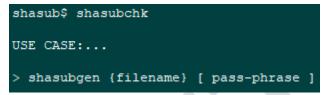
Fig. 11: Instantiate SHASUB value

⁹ Embedding SHASUB is a manual procedure because it requires owner's knowledge of content to determine appropriate location that does not negatively impact the significance of the file's payload.



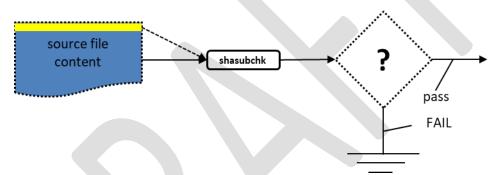
2.1.3 SHASUB Validation

The validation of a file content via embedded SHASUB value entails the usage of the 'shasubchk' utility:



Validating the SHASUB of a file's contents is a one step process:

1. Validate the SHASUB value via 'shasubchk' utility



Case in point, invoking 'shasubchk' utility referencing {filename} locates the embedded SHASUB placeholder per specified delimiters (see 2.1.1 above 'SHASUB Placeholder') and validates the file's corresponding SHASUB value:



Fig. 13: Validate File Contents Per SHASUB value

NOTE: In the event that a file's content is modified and/or corrupted, subsequent attempts to validate the file's contents per embedded SHASUB value will fail – see Fig. 14: through Fig. 15: below.

⊟ shasubgen ⊠
1 «COMMENTs
3 4 5 SHASUB: ea4b858f5f8d30183c81c534c277417f26abc70e4226c759b4c483b49468075ebb67c463933bbcab90db0c1b10c59b0f27fbfce9683a46ca125b59bc0c655706 \$ 5
6 Purpose: 7 To embed a pseudo [partial] shasum in a target (filename) termed (SHASUB) - i.e. SHAsumSUBset! 8 {SHASUB} excludes SVN keyword sustitutions and {SHASUB} content, as follows:
/ OR /
🔚 shasubgen 🔀 🔪
1 KKCOMMENT
3 4 55HA5UB: ea4b858f5f8d30183c81c534c277417f26abc70e4226c759b4c483b49468075ebb67c463933bbcab90db0c1b10c59b0f27fbfce9683a46ca125b59bc0c6555706 \$
9 9 Purpose: 7 To embed a pseudo [partial] shasum in a target {filename} termed {SHASUB} - i.e. SHAsumSUBset! 8 {SHASUB} excludes SVN keyword sustitutions and {SHASUB} content, as follows:
Fig. 14: File Payload Contents Corrupted
shasub\$ shasubchk shasubgen

earab838f58d3010580Lc534c277417f26abc70e4226c759b4c483b49468075ebb67c463933bbcab90db0clb10c59b0f27fbfce9683a46cal25b59bc0c655706 WARN: passphrase prefix not specified... /tmp/tmp.teWGJSMwMV: FAILED

ha512sum: WARNING: 1 computed checksum did NOT match

Fig. 15: SHASUB Validation Failure Per Embedded SHASUB Value

2.1.4 SHASUB: Use Cases

2.1.4.1	hello1.c							
<pre>#** \$Date: 2 #** \$Revisio #** \$Author: #** \$HeadURL #** \$Id: hel</pre>	on: 152 \$ attila \$.: svn://localhost/ .lol.c 152 2022-06-0	- DO NOT CHANGE -0400 (Thu, 09 Jun 2022) :runk/common/shasub/hello: 19 15:48:062 attsla \$ unk/common/shasub/hello1.		15:48:06Z attila	\$			
*/ #include <std int main () {</std 								
\$SHASUB		le2955362e92f9dc094d25826i	b7ec49174455ca70a	1c40922ec11d731d7	ee58c4ab5clf6e0	c74be7ce48d4d6e8be2	ad0f7c0fdf2e63b0c \$\n"	
3cdb2255e865f WARN: passphr /tmp/tmp.zFqJ shasub\$	ase prefix not spe 61Jsv3: <mark>OK</mark> /hellol.c -o hello .lol		0alc40922ecl1d731	d77ee58c4ab5c1f6e(0c74be7ce48d4d6e	8be25ad0f7c0fdf2e63	50c	
		62e92f9dc094d25826b7ec49	174455ca70a1c4092	2ec11d731d77ee58c4	ab5clf6e0c74be7	ce48d4d6e8be25ad0f7	**************************************	
				Fig. 16:	'hello1	L.c'		

Fig. 16: above illustrates SHASUB unintrusively embedded in printf() statement.

2.1.4.2 hello2.c

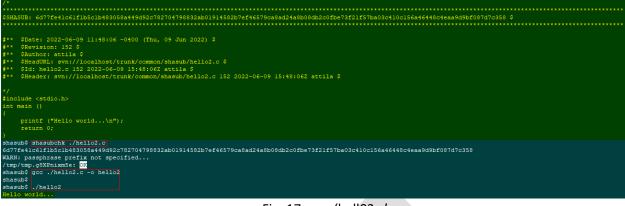


Fig. 17: 'hell02.c'

Fig. 17: above illustrates SHASUB unintrusively embedded in comment block

2.1.4.3	hello3.sh			
echo "Hello w	vorld! <8) "			
echo "\$SHASUE	3: 59610a4209126d6afdaeeaae7cad0f801b28850990a317563f	2d93c84aa3dc69c39bbf964ab	c01463d8e639d089791f85b604d790536	257b78ac931d955eb757 \$"
	e world! <8("			
	ıbchk ./hello3.sh			
	d6afdaeeaae7cad0f801b28850990a317563f2d93c84aa3dc69c	39bbf964abc01463d8e639d08	9791f85b604d790536257b78ac931d955	eb757
	rase prefix not specified			
/tmp/tmp.pvkv	78kHtHp: <mark>OK</mark>			
shasub\$				
shasub\$./hel	llo3.sh			
Hello world!	<8)			
: 59610a42091	126d6afdaeeaae7cad0f801b28850990a317563f2d93c84aa3dc6	9c39bbf964abc01463d8e639d	089791f85b604d790536257b78ac931d9	55eb757 \$
Goodbye world				
	ri-	10, (halla) ak		

Fig. 18: 'hello3.sh'

Fig. 18: above illustrates SHASUB unintrusively embedded in 'echo' command.

2.1.4.4 XML/XSD Sample Data

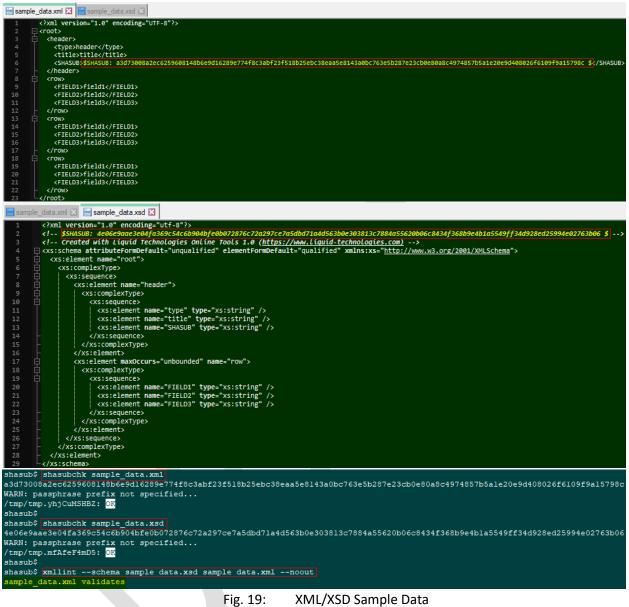


Fig. 19: above illustrates SHASUB unintrusively embedded in XML/XSD file pair.

2.1.5 Secure SHASUB

The potential exists for the SHASUB mechanism is vulnerable to 'tampering'. To address this valid concern, an optional 'passphrase' parameter has been added to the supporting utilities in order to make it 'tamper-proof'.

Referring to Fig. 20: below:...

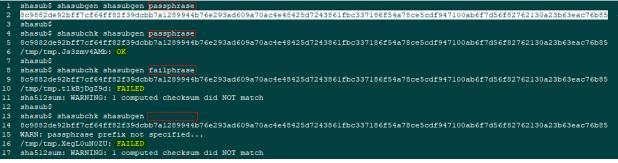
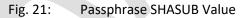


Fig. 20: Passphrase SHASUB Usage

- Line #1 invokes 'shasubgen' with provided 'passphrase';
- Resultant generated SHASUB value on line #2 embedded in target file per Fig. 21: below;
- Line #4 invokes 'shasubchk' with provided valid 'passphrase';
- Embedded SHASUB value located in target file identified on line #5;
- Line #6 displays successful validation of file's contents;
- Line #8 invokes 'shasubchk' with provided invalid 'failphrase';
- Embedded SHASUB value located in target file identified on line #9;
- Line #10 displays unsuccessful validation of file's contents;
- Line #13 invokes 'shasubchk' without a passphrase;
- Embedded SHASUB value located in target file identified on line #14;
- Line #16 displays unsuccessful validation of file's contents;

🔚 shasubgen 🔀 🔚 shasubchk 🗵

- \$SHASUB: 245da7661fbaf77b1ac7146cb
- Purpose: To embed a pseudo [partial] shasum in a target {filename} termed {SHASUB} - i.e. SHASumSUBset! (SHASUB} excludes SVN keyword sustitutions and (SHASUB) content, as follows:...



45TD0/908C0ac14c2c3t9a08cect0c500//a3050085t0020601056C/C00ac145C/0aa51019e41419et80cee401/0D \$

NOTE: The user supplied 'passphrase' on the command lines are transient. They are not embedded in the target file's contents. If the 'passphrase' is lost/forgotten, it is irretrievable by design due to SHASUB's implementation. In such circumstances, while the file's payload contents remain intact, nevertheless the contents are rendered questionable and cannot be validated. It is comparable to losing the SHASUM.

2.2 SubVersioN [SVN]

In addition to providing a mechanism for embedding a file's 'partial SHASUM', SHASUB also takes into account Subversion [SVN] – a sophisticated mainstream centralized file versioning system.

2.2.1 Keyword Substitution

Amongst other features, SVN provides the capability to automatically embed file revisioning properties [information] directly into benign section(S) of files' contents every time files are committed to the SVN's version control repository:

Property names starting with 'svn:' are reserved. Subversion recognizes the following special versioned properties on a file: svn:keywords - Keywords to be expanded. Valid keywords are: URL, HeadURL - The URL for the head version of the file. Author, LastChangedBy - The last person to modify the file. - The date/time the file was last modified. Date, LastChangedDate Rev, Revision, - The last revision the file changed. LastChangedRevision \mathbf{Id} - A compressed summary of the previous four. Header - Similar to Id but includes the full URL.

:::

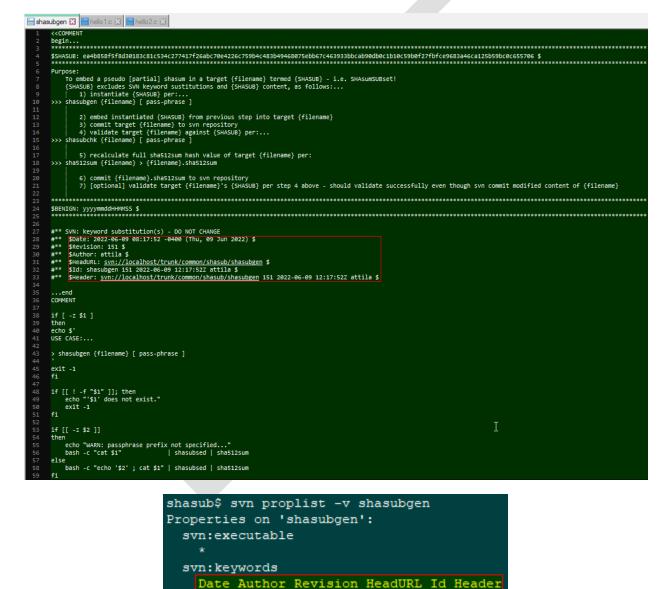


Fig. 22: Revision Property Keywords

As lines #28 thru #33 illustrate in Fig. 22: above, files "propset" with specified revision property keywords are automatically updated/modified during SVN's commit by embedding revision information

corresponding to latest commit. As stated earlier, in doing so the file's SHASUM value <u>before</u> the commit will not match the file's SHASUM value <u>after</u> the commit procedure. Fig. 23: and Fig. 24: below illustrates how SHASUB circumvents this issue.

🔚 helk	o1.c 🔀	Helio2a 🔀 Hasubgen 🔀
1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21	#** #** #** #** #** #** #**	\$Author: attila \$ \$HeadNL: <u>svn://Loca.host/trunk/common/shasub/hello1.c</u> \$ \$Id: hello1.c 151 2022-06-09 12:17:522 attila \$
2 W 3 3 4 s 5 s 6 3 7 W 8 / 9 s 10 s	ARN: cdb22 hasub cdb22 ARN: tmp/t hasub hasub	, \$ shasubchk hellol.c \$55e865f4bbde2955362e92f9dc094d25826b7ec49174455ca70alc40922ec1ld731d77ee58c4ab5c1f6e0c74be7ce48d4d6e8be25ad0f7c0fdf2e63b0c passphrase prefix not specified mm.d2g6Js5860: OK
		Fig. 23: Pre-SVN Commit

Fig. 23: illustrates the SHASUM value calculated on lines #10 thru #11 of file 'hello1.c' [\$Revision: 151] <u>before</u> SVN commit.

	1	
🔚 hello 1	.c 🛛	
1	₽/*	
2		
3		SVN: keyword substitution(s) - DO NOT CHANGE
4		\$Date: 2022-06-09 11:48:06 -0400 (Thu, 09 Jun 2022) \$ Skevision: 152 \$
6		prevision: 122 3 Sauthor: a tila S
7		sHedUni: suri/localhost/trunk/common/shasub/hello1.c \$
8		\$Id: hello1.c 152 2022-06-09 15:48:067 attila \$
9	#**	\$Header: <u>svn://localhost/trunk/common/shasub/hello1.c</u> 152 2022-06-09 15:48:06Z attila \$
10		
11	L*/	
12		lude <stdio.h></stdio.h>
13 14		main ()
14	뭄	printf ("Hello world\n"
16	۲	
17		"\$\$HA5UB: 3cdb2255e865f4bbde2955362e92f9dc094d25826b7ec49174455ca70a1c40922ec11d731d77ee58c4ab5c1f6e0c74be7ce48d4d6e8be25ad0f7c0fdf2e63b0c \$\n"
18		***************************************
19		"\n");
20	Ι.	return 0;
21	}	
		\$ shasubchk hellol.c
13 3c	db22	55e865f4bbde2955362e92f9dc094d25826b7ec49174455ca70alc40922ec1ld73ld77ee58c4ab5c1f6e0c74be7ce48d4d6e8be25ad0f7c0fdf2e63b0c
14 WA	RN: 1	passphrase prefix not specified
15 /t	mp/tr	mp.yqukbEvZZa: <mark>OK</mark>
16 sh	asub	\$
17 sh	asub	\$ sha512sum hellol.c
18 ed	39140	14d493184164b019359af81104e66846395000b813cbaeae6146612958c28c0c8711c4a3a4c501834ea08ef7f14b81071020738b11f9b0c69df57aea9f hellol.c
		Fig. 24: Post-SVN Commit

Fig. 24: illustrates the SHASUM value calculated on lines #17 thru #18 of file 'hello1.c' [\$Revision: 152]

<u>after</u> SVN commit no longer matches. However, as seen on command line #12 thru #15, the file's SHASUB value still validates successfully.

2.3 SHASUB Constituents



Fig. 25: above lists the constituents of SHASUB prototype. In its current rendition, it is implemented in 'bash' and 'sed' scripts.

If adopted for incorporation into 'coreutils', it is recommended to be implemented in a mainstream programming language – eg: C/C++.

Also, if adopted for incorporation, it is recommended SHASUB utilities not support SVN 'keyword substitution' feature. A recommendation to Apache® Subversion® support community should be approached to implement a new keyword to support SHASUB in accordance with SVN's 'keyword substitution' feature during commits.

3 Caveats/Limitations

- discuss the applicability to binary files not just ASCII files
- one SHASUB placeholder per file

Acronyms

ASCII	American Standard Code for Information Interchange
SHA	Secure Hash Algorithms
	[https://en.wikipedia.org/wiki/Secure_Hash_Algorithms]
SHASUB	SHAsum SUBset – an abbreviation
SHASUM	Linux-based SHA sum utility with varying precision between 160
	and 512 bits
SHAxSUM	Instance of SHASUM with specific precision – eg: 256, 512, etc.
Subversion	Apache Subversion
	[https://en.wikipedia.org/wiki/Apache_Subversion]
SVN	Subversion abbreviation
MIM	Man in the middle attack
	https://en.wikipedia.org/wiki/Man-in-the-middle_attack